

Completion of the MDS 3.0 and CAAs

2014

The Resident Assessment process addressed in 42 CFR 483.20 (b)(1)(xviii) (g) and (h) respectively states that the assessment must accurately reflect the resident's status and a registered nurse must conduct or coordinate each assessment with the appropriate participation of health professionals.

The Kansas Board of Nursing staff has determined the process of the Resident Assessment which includes completion of the Minimum Data Set (MDS), Care Area Assessments (CAAs), and care plan development requires nursing judgment. As coordinator of the process, a registered nurse (RN) is responsible to ensure each person who completes sections or items on the MDS and CAAs has the expertise to do so. Designated health professionals may be assigned to complete sections and items on the MDS and the CAAs within their professional scope of practice.

K.S.A. 60-3-100. Unprofessional Conduct. Prohibits a registered nurse from delegating nursing judgment to anyone who is not a nurse. It states, "Any of the following shall constitute "unprofessional conduct": delegating any activity that requires the unique skill and substantial knowledge from biological, physical, and behavioral sciences and judgment of the nurse to any unlicensed individual in violation of the Kansas nurse practice act or to the detriment of patient safety"

The RN is responsible for ensuring the completion of the Resident Assessment process. The following health professionals may assist the process by completing portions of the MDS and CAAs that fall within their scope of practice:

- Registered Nurse
- Physical Therapist
- Occupational Therapist
- Speech Language Pathologist
- Registered Dietitian
- Therapeutic Recreation Specialist
- Licensed Social Worker
- Social Service Designee who has a bachelor's degree in a human services field
- Licensed Practical Nurse who has attended an MDS workshop and has received additional assessment education on the sections or items or care area conditions they are completing either directly from an RN in the work place or through another means of education. There must be documentation in their personnel file of the additional education.

The RN coordinator may assign other facility staff to complete specified sections of the MDS determined to require only the collection of data and specific Care Area Assessment if reviewed and also signed by designated health professionals. The staff includes:

- Activity Director (AD) who has completed the Activity Director State Training Course approved by Health Occupations Credentialing, Kansas Department for Aging and Disability Services. They may complete Section F. Preference for Customary Routine and Activities and the Activities Care Area Assessment and Summary when documentation is present in their personnel file and they have received education from a Therapeutic Recreation Specialist, Social Worker, or Registered Nurse on Section F and its application. A Therapeutic Recreation Specialist, Social Worker, or Registered Nurse must review and also sign the Activities Care Area Assessment Summary.

- Social Service Designee (SSD) who does not have a bachelor's degree in a human services field and has completed the Social Services Designee State Training Course approved by Health Occupations Credentialing, Kansas Department for Aging and Disability Services. They may complete Sections C-Cognitive Patterns, D-Mood, E-Behavior, F-Preference for Customary Routine and Activities, and Q-Participation in Assessment and Goal Setting, when documentation is present in their personnel record that they have received education from a Therapeutic Recreation Specialist, Social Worker, or Registered Nurse. The SSD may also complete the Care Area Assessments. A Therapeutic Recreation Specialist, Social Worker, or Registered Nurse must review and also sign the Care Area Assessments and Summaries.
- Certified Dietary Managers (CDM) may complete Section K Swallowing and Nutritional Status Items 0200-0700, when documentation is present in their personnel file that they have received additional education from a Licensed Dietitian on those items and their application. They may provide input into the CAAs but cannot complete them or the summaries.