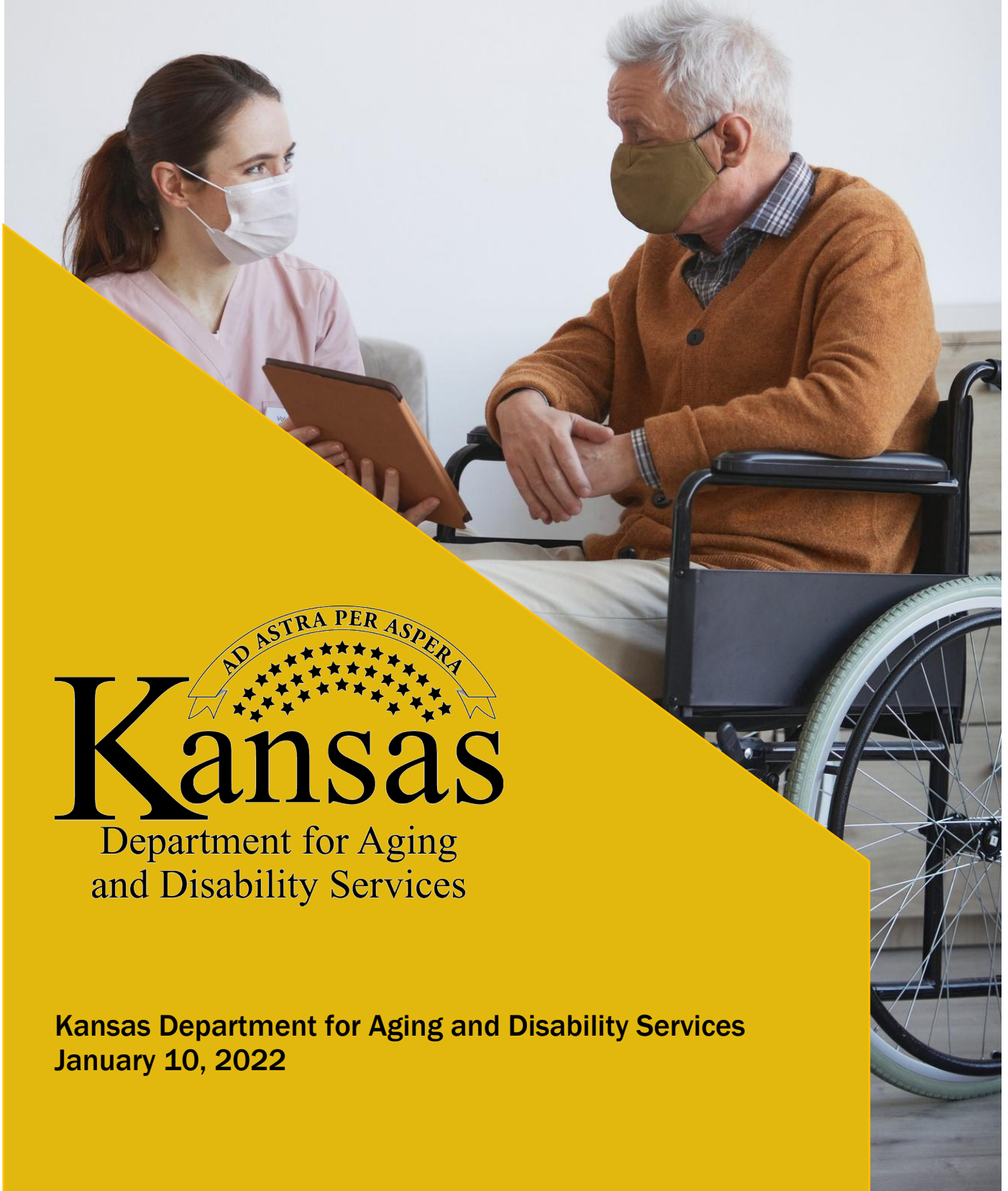


CARE Annual Report State Fiscal Year 2021



AD ASTRA PER ASPERA
Kansas
Department for Aging
and Disability Services

Kansas Department for Aging and Disability Services
January 10, 2022

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EXECUTIVE SUMMARY

The Client Assessment, Referral and Evaluation (CARE) Program is administered by the Behavioral Health Services Commission at the Kansas Department for Aging and Disability Services (KDADS). The CARE program was created in 1995 by the Kansas Legislature as the Kansas response to the Federally mandated Pre-Admission Screening and Resident Review (PASRR) program. The goals of the assessment are to provide customers individualized information on long-term care options and collect data regarding individuals being assessed for possible nursing facility placement.

The CARE Program meets the federal requirements for PASRR 42 CFR 483.100 through 483.138. PASRR is federal law that requires governing states to complete a screen prior to an individual entering a Medicaid-certified nursing facility. There are three levels to the PASRR:

- Special admissions are PASRR assessments completed at the nursing facility once the individual arrives and doesn't have a Level I CARE assessment on file, these are completed by the nursing facility staff.
- Level I CARE assessments are conducted by assessors through the local Area Agencies on Aging (AAA) and must be completed prior to entering a nursing facility. The nursing facility is required to have a copy of the Certificate of CARE from the Level I assessment in the medical record of the nursing facility. Admissions to a nursing facility when the Level I CARE assessment could not be completed prior to the individual entering the nursing facility are known as special admissions.
- Level II PASRR evaluations are in-depth evaluations conducted for those who trigger a Level II from the Level I CARE assessment. Intellectual/Developmental Disability/Related Condition (IDD/DD/RC) is completed by a qualified intellectual disability professional (QDIP). The severe and persistent mental health evaluation Level II PASRR evaluations are conducted by a qualified mental health professional (QMHP). They are then reviewed by KDADS CARE /PASRR Program team and a PASRR determination letter is completed.

Level I CARE assessment and Level II PASRR evaluations were lower during State Fiscal Year 2021 (July 1, 2020 to June 30, 2021) than in the prior State Fiscal Year (SFY). The total number of Level I CARE assessments dropped by 1,815 from SFY 2020 to SFY 2021. Likewise, the total number of Level II PASRR evaluations dropped by 178 from SFY 2020 to SFY2021. Reasons for the drop may include COVID precautions, staffing shortages, and nursing facility closures.

***Pre-admission Screening and Resident Review (PASRR)
is a screen required by federal law to be completed
before an individual enters a Medicaid-certified
nursing facility.***

LEVEL I CARE Assessment and PASRR

The Level I CARE Assessment tool has the PASRR screen imbedded as Section B PASRR and meets the federal requirements for PASRR for nursing facility placement. A Level I CARE assessment is required to be completed before an individual is admitted to a Medicaid-certified nursing facility, regardless of the payer source.

Level I CARE assessments are completed by:

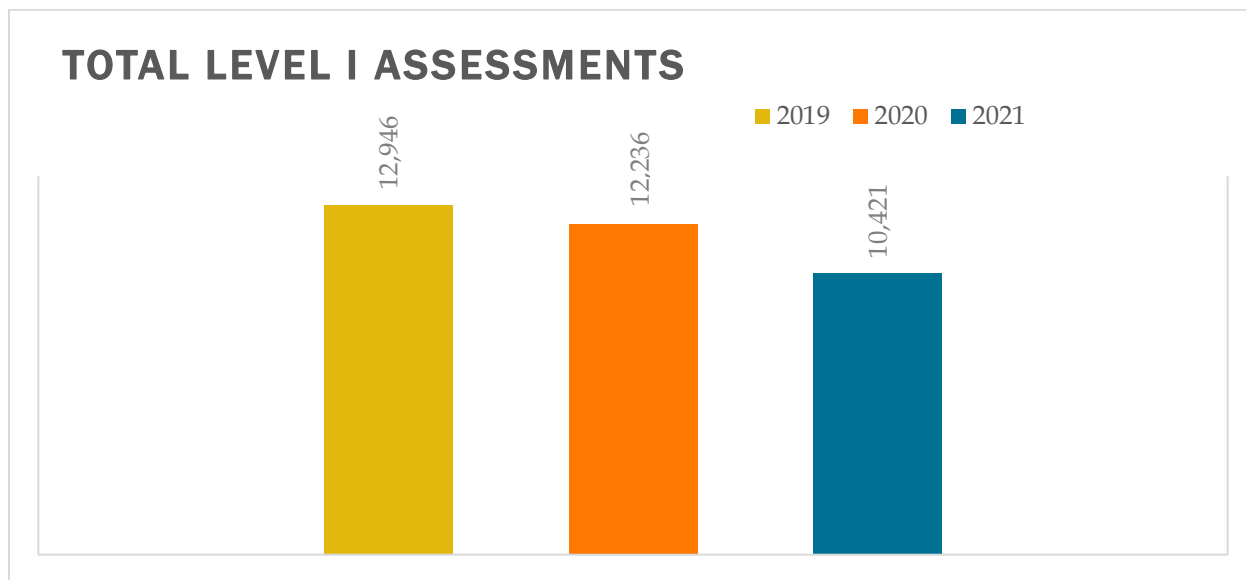
- Area Agencies on Aging (AAAs) in the individual's home, hospital, psychiatric hospital, jail, prison or within the community.
- Hospital assessors, licensed and trained hospital staff such as a nurse or social worker, can only complete Level I CARE assessments on hospital patients wanting to discharge to a nursing facility.

The Level I CARE assessment is valid for 365 days from the date of the assessment. If an individual does not enter a nursing facility in that timeframe a new Level, I CARE assessment needs to be completed. A Level I CARE assessment is valid indefinitely for individuals who continue to reside in the nursing facility without a significant change in condition or a return to the community for more than six months. If an individual has been in the community for more than six months, a new Level I CARE assessment must be completed.

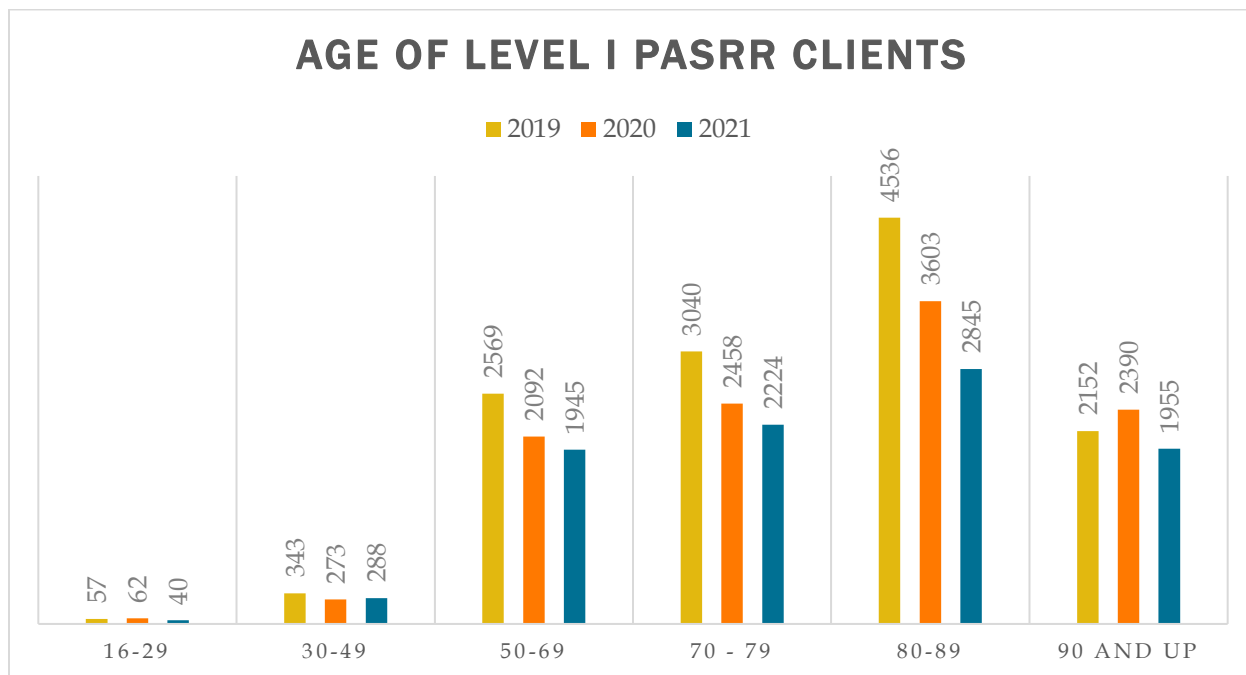
During State Fiscal Year (SFY) 2021, 10,421 Level I CARE assessments were completed. The number of individuals receiving the Level I CARE assessment for the first time was 9,297 within the SFY. AAA assessors completed 9,454 of the Level I CARE assessments while hospital assessors completed 967.

The total number of Level I CARE assessments dropped by 1,815 from SFY 2020 to SFY 2021. Reasons for the drop may include COVID precautions, staffing shortages, and nursing facility closures.

The graph below reflects the total number of Level I CARE assessments completed during the past three SFYs.



The graph below shows an **unduplicated number** of individuals who received Level I CARE assessments by age range starting at 16 years of age, which is the youngest age that may enter a nursing facility in the state of Kansas, through ages 90 and above. The majority of individuals who received Level I CARE assessments are in the 80- to 90-year age range.



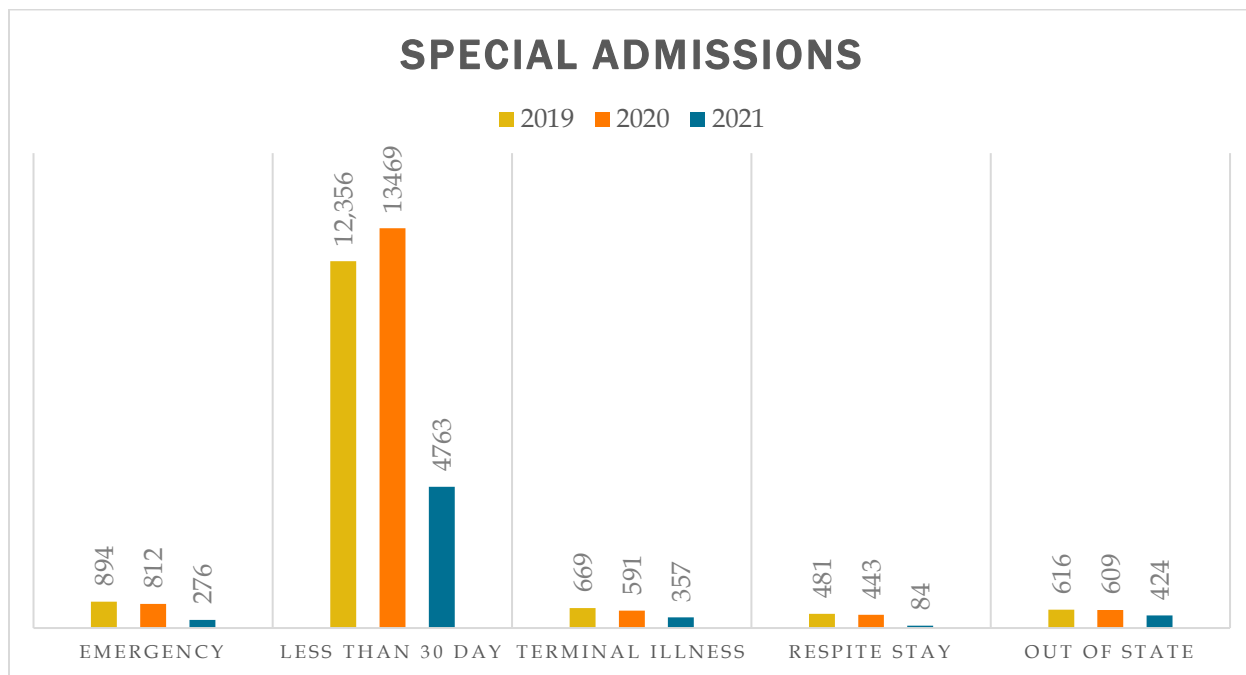
Special Admissions

Admissions to a nursing facility when the Level I CARE assessment could not be completed prior to the individual entering the nursing facility are known as special admissions. The facility accepts a special admission by completing Section A and B of the Level I CARE assessment (PASRR) and completing the Special Admission Fax Memo, then submitting the proper documentation to the KDADS CARE Program. The KDADS CARE Program offers webinar training once a month to all nursing facilities in the State to provide education on completing these admissions. The CARE Program sends out monthly e-mail correspondence to state nursing facilities to provide updates on the CARE process. The CARE Manual, found on the KDADS website, also provides instruction. The five special admissions are:

1. **Emergency Admission:** When an individual is unexpectedly admitted to the nursing facility. Examples include: a caregiver becoming ill or passing away; a physician's office recommending an individual be admitted to a nursing facility and the physician is opposed to sending the individual home or an individual's home is destroyed by tornado or fire. These types of admissions happen suddenly and unexpectedly and there is not time to complete a Level I CARE assessment prior to admission. Nursing facilities are trained to follow the KDADS procedures to receive approval for an emergency admit, and the nursing facility is responsible for calling the local AAA to complete the Level I CARE assessment before day seven of the admission.

2. ***Less Than 30-Day, also known as Exempted Hospital Discharge.*** As authorized by CFR 483.106, an individual is admitted to any nursing facility directly from a hospital after receiving acute inpatient care at the hospital. The individual must require nursing services for the condition for which he or she received care in the hospital. And whose attending physician has certified before admission to the facility that the individual is likely to require less than 30 days' nursing facility services. If the individual stays more than 30 days, it is the nursing facility's responsibility to call the AAA before day 20 of the signed order to obtain the Level I CARE assessment.
3. ***Respite Stay:*** When a caregiver needs a break and chooses a nursing facility to care for their loved one, but the stay cannot be longer than 30 days at one time. The nursing facility is responsible for calling the AAA and requesting a Level I CARE assessment if the individual decides they no longer wish to return home but instead prefers to reside in a nursing facility.
4. ***Terminal Illness:*** This is when an individual is on hospice, palliative care or has a terminal illness and has a planned admission to a nursing facility. If the individual currently has a Level I CARE assessment it is not necessary to conduct the terminal illness admission. However, if there is no Level I CARE assessment, a terminal illness admission covers an individual for six months. If the individual continues residing in the nursing facility after six months, the nursing facility is responsible for calling the AAA before the six-month PASRR expires for completion of the Level I CARE assessment.
5. ***Out-of-State PASRR:*** When an individual is coming to a Kansas nursing facility from an out-of-state hospital or an out-of-state nursing facility. The individual brings their initiating state's PASRR documentation with them and gives it to the receiving nursing facility in Kansas. The state of Kansas accepts all other states' PASRRs.

During the majority of SFY 2021, the 1135 Waiver with CMS was in effect. This waiver allowed nursing facilities to admit individuals without having the PASRR on file first and allowing them to complete the Level I CARE assessment within 30 days of admission. As a result, the number of special admissions dropped during SFY 2021. In addition, the COVID out breaks in nursing facilities and limited admission may have also impacted the number of special admissions. The totals for each of the five special admissions are as follows: **276** Emergency; **4,763** Less Than 30 Day; **357** Terminal Illness; **84** Respite Stay; and **424** Out-of-State. The graph shows the last 3 years of special admissions.



LEVEL II PASRR Evaluation

The CARE Level II PASRR evaluation fulfills the federal mandate that individuals with IDD/DD/RC or serious and persistent mental illness (SPMI) will not be admitted into a nursing facility if they can be served in a less restrictive setting in the community. Individuals entering a nursing facility must demonstrate a need for nursing facility level of care. An individual receiving a Level II PASRR evaluation may enter any Kansas nursing facility assuming the nursing facility can meet the recommendations outlined in the Level II PASRR determination letter. Individuals identified with an active Level II severe or persistent mental illness evaluation are the only ones permitted to enter one of the 10 nursing facilities for mental health (NFMH).

A Resident Review PASRR evaluation is performed when an individual is residing in a nursing facility and has a serious condition related to severe or persistent mental illness or IDD/DD/RC that was unknown prior to admission but becomes evident during the nursing facility stay. A Resident Review Level II PASRR evaluation is also performed when a Level II PASRR determination letter has expired. Each individual with a temporary PASRR determination letter needs to be reassessed to ensure the nursing facility level of care is still appropriate and they are meeting the needs of the individual.

When a Level I CARE assessor identifies individuals with a mental illness, intellectual disability and/or developmental disability, a PASRR Level II evaluation will be

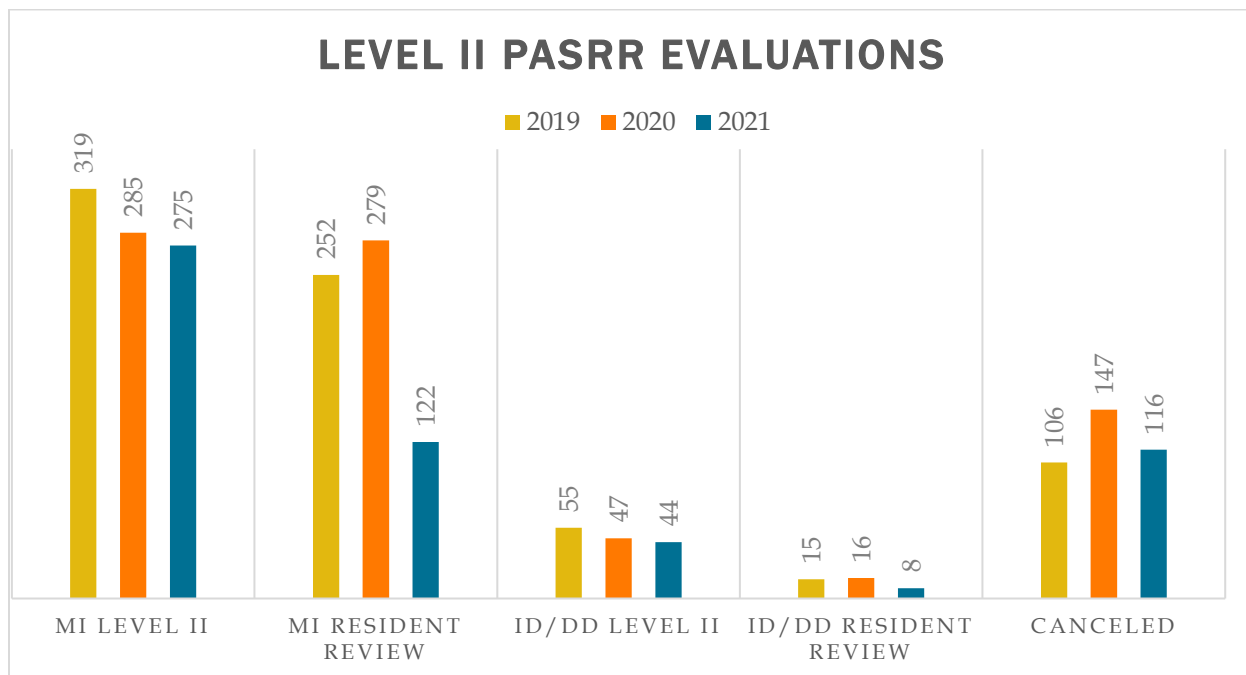
requested. A Level II PASRR evaluator, a qualified mental health professional (QMHP) and/or a qualified intellectual disability professional (QIDP), will complete an in-depth evaluation and will forward the results of the evaluation to KDADS' CARE Program. Once reviewed by the CARE Program, a PASRR determination letter is drafted and sent to the individual and/or guardian. Federal regulations state that a preadmission screening needs to be performed prior to an individual entering a nursing facility.

Only an individual with a valid PASRR for mental illness may enter one of the 10 nursing facilities for mental health.

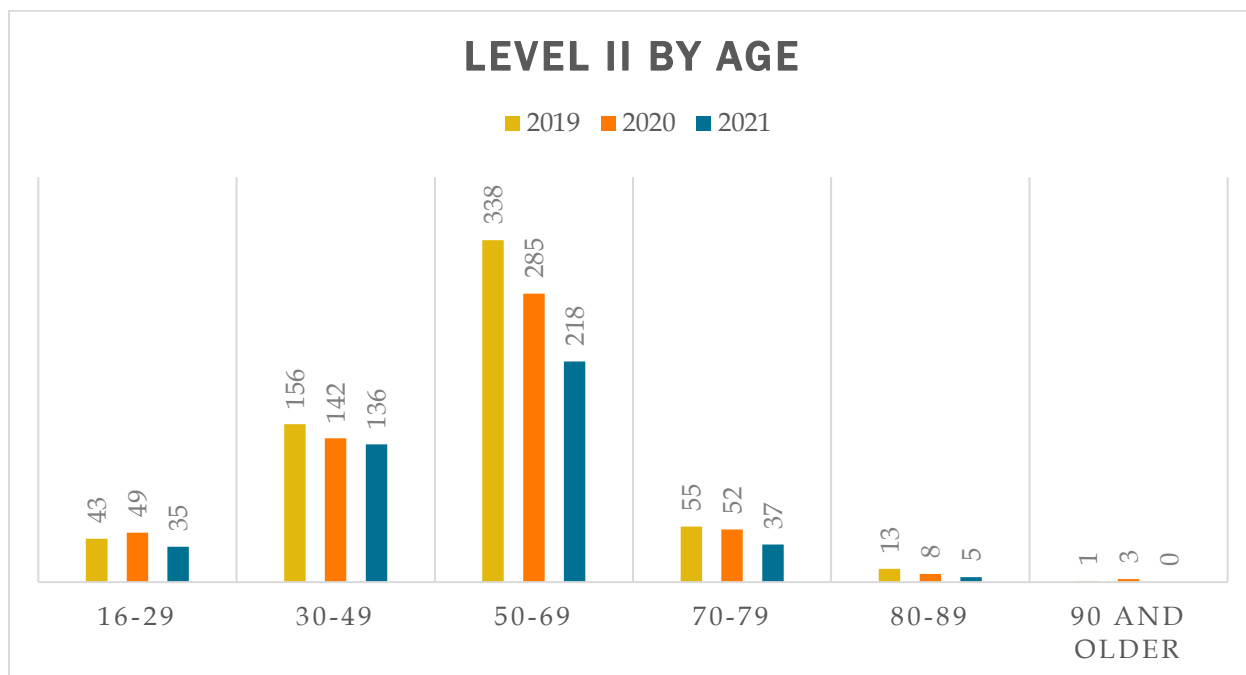
During SFY 2021, there were a total of **449** Level II PASRR evaluations performed by trained Level II evaluators contracted through Healthsource Integrated Solutions (HIS). Of these assessments, **275** were reviewed for serious or persistent mental illness, **44** for intellectual disability/developmental disability, **122** Resident Reviews for SPMI were completed and **8** for ID/DD/RC, and **116** were cancelled. Evaluations are cancelled when it is determined the evaluation request did not meet the code of federal regulation criteria or it was canceled because the individual or their guardian refused to do the assessment.

As noted above, when an individual receives a PASRR determination letter allowing for a temporary stay in a nursing facility and the individual has not progressed to returning to a community placement by the end of the temporary stay, a Resident Review assessment will be conducted. This is done to allow the additional time needed for a more efficient discharge plan. At this time a letter is issued allowing the individual to remain in the nursing facility until an appropriate discharge plan is finalized. If at any time the individual's status changes, appropriate placement can then be arranged for a transition safely and successfully back to a community setting. The Level II PASRR determination letter does not state an individual must remain in a nursing facility, it simply allows for the stay to be authorized.

The graph below shows the Level II PASRR evaluations for the last three years.



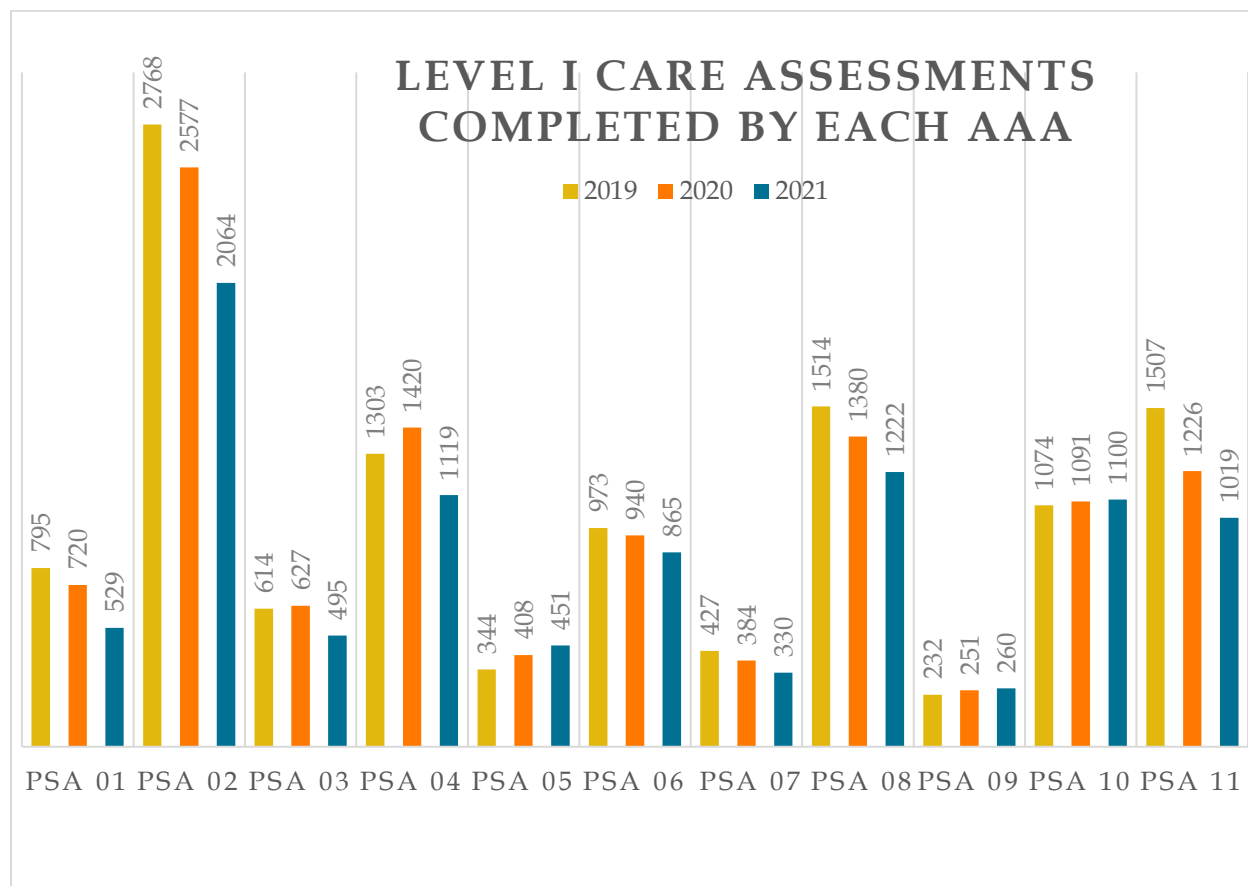
The graph below shows an unduplicated number of the individuals who received Level II PASRR evaluations by age range with the majority of individuals in the 50-69 age range. The age range of 30-49 years also has a significant number of individuals with a Level II PASRR evaluation.



Area Agencies on Aging (AAAs)

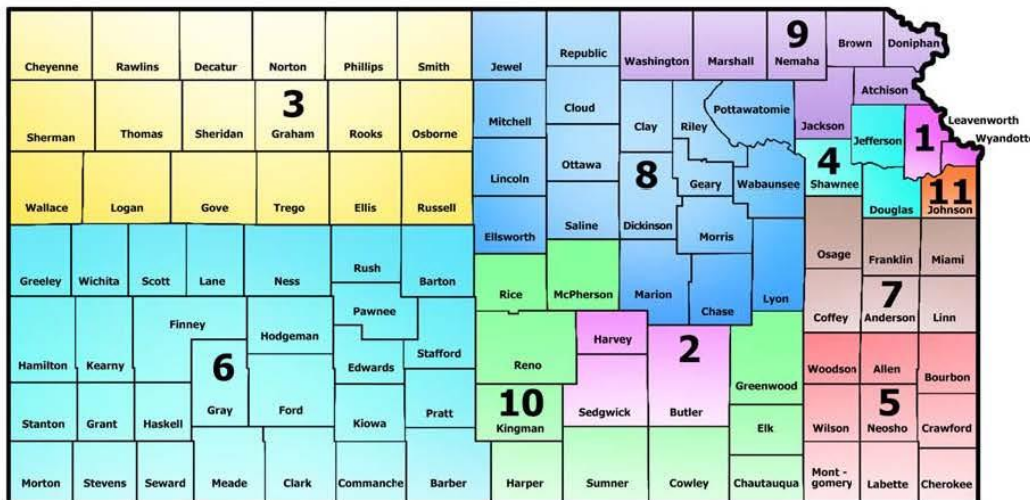
The KDADS CARE Program partners with the AAAs to complete the Level I CARE Assessments. There are 11 AAAs across the state covering all 105 counties. The AAAs provide information to families and individuals looking for nursing facility placement. The AAAs are trained on, have knowledge of, or provide community-based programs in their counties that may be beneficial to divert individuals from nursing facility admissions. As such, when a AAA assessor obtains information to conduct a Level I CARE assessment and is aware of other community-based programs, the assessor directs or assist's the individual in applying for the community-based waiver programs or any other programs, such as the Senior Care Act or the Older Americans Act.

Below is a graph showing the number of Level I CARE assessments completed by each AAA for the last three years.



Appendix A – AAA Phone List

Kansas Area Agencies on Aging



PSA 01 – Wyandotte/Leavenworth
CARE Team Lead: Jacqui Watts
 Location: 849 N. 47th, Suite C
 Kansas City KS, 66102
 Phone: 913-573-8531
 Fax: 913-573-8578
 Email: care@wycokck.org

PSA 02 – Central Plains
CARE Team Lead: Martin Toland
 Location: 271 W. Third St. N.
 Wichita, KS 67202
 Phone: 855-200-2372
 Fax: 316-660-1936
 Email: Martin.Toland@sedgwick.gov

PSA 03 – Northwest Kansas
CARE Team Lead: Tammy Gerhardt
 Location: 510 W. 29th Street, Suite B
 PO Box 610
 Hays, KS 67601-3703
 Phone: 785-628-8204
 Toll-free: 800-432-7422
 Fax: 785-628-6096
 Email: nwkaaa@nwkaaa.org

PSA 04 – Jayhawk
CARE Team Lead: April Maddox
 Location: 2910 SW Topeka Blvd.
 Topeka, KS 66611
 Phone: 785-235-1367
 Toll-free: 800-798-1366
 Fax: 785-235-2443
 Email: amaddox@jhawkaaa.org

PSA 05 – Southeast Kansas
CARE Team Lead: Stacy Jackman
 Location: 1 West Ash St.
 Chanute, KS 66720-1010
 Phone: 620-431-2980
 Toll-free: 800-794-2440
 Fax: 620-431-2988
 Email: joan.newman@sekaaa.com

PSA 06 – Southwest Kansas
CARE Team Lead: Carol Harder
 Location: 236 San Jose Drive
 Dodge City, KS 67801
 Phone: 620-225-8230
 Fax: 620-225-8239
 Email: swksadrc@swksaging.org

PSA 07 – East Central Kansas
CARE Team Lead: Ginger Acker
 Location: 117 South Main
 Ottawa, KS 66067-2327
 Phone: 785-242-7200
 Toll-free: 800-633-5621
 Fax: 785-242-7202
 Email: eckadrc@eckaaa.org

PSA 08 – North Central Flint Hills
CARE Team Lead: Amanda Martin
 Location: 401 Houston St.
 Manhattan, KS 66502
 Phone: 785-776-9294
 Toll-free: 800-432-2703
 Fax: 785-776-9904
 Email: amandam@ncfhaaa.com;
LaurenD@ncfhaaa.com;
kelsey@ncfhaaa.com

PSA 09 – Northeast KS
CARE Team Lead: Rebecca Nimz
 Location: 1803 Oregon
 Hiawatha, KS 66434-2222
 Phone: 785-742-7152
 Toll-free: 800-883-2549
 Fax: 785-742-7154
 Email: nekaaa@nekaaa.org

PSA – 10 South Central Kansas
CARE Team Lead: Sarah Long
 Location: 304 S. Summit
 Arkansas City, KS 67005
 Phone: 620-442-0268
 Fax: 620-442-0296
 Email: sarah@sckaaa.org

PSA – 11 Johnson County
CARE Team Lead: Stacie Tripodi
 Location: 11811 S. Sunset Drive, Suite
 1300 Olathe, KS 66061-7056
 Phone: 913-715-8861; 888-214-4404
 Fax: 913-715-8825
 Email: Stacie.Tripodi@jocogov.org

Updated 12/21/2021.