

Final Rule Stakeholder Call

Topics: Updates on Provider Self-Assessment and Available Resources

10/16/2019

Noon call (47 participants on the line)

1. **Question/Comment:** Please repeat the name of the assessment.

KDADS: Site-specific assessment, you can find that at communityconnectionsks.org and you can find the link to that on our website as well, the KDADS website.

2. **Question/Comment:** How many providers have completed the assessment?
How many have been submitted?

KDADS: We have over 38 providers who have completed assessments, we have over 100 that have logged in but have not completed the assessment. Number of providers with accounts are 102. Number of accounts that have been approved is 101. Number of providers logged in after approval is 74. Number of providers that have entered the number of sites they have is 30. Number of assessments completed and registered for desk review is 36. Number of site assessments started but not ready for desk review to begin is 111.

3. **Question/Comment:** Is the training that will be available during November just information on how to complete the assessment or will there be technical assistance involved as well?

KDADS: There will be technical assistance and information about how to complete the assessment.

4. **Question/Comment:** Is there a delay in creating a new account? I created a new account, but it wouldn't let me submit a password, and when I went to reset the password it says I am unable to do so at this time.

WSU: When did you create the account?

5. **Question/Comment:** Just now as we are on the phone

WSU: Yes, there is a process to verify the account on our end, so somebody will get a notification to look at your account just to make sure it's not spam, a bot, or anything like that. So you will receive an email with confirmation that you can create your password and then access your account after someone verifies it. I will make sure that our staff here are aware that you started the process just now so it should come through soon.

6. **Question/Comment:** If I have a document that satisfies several different questions, do I need to upload it one time on the assessment or each time it is necessary?

KDADS: You will need to upload it for each assessment you are using it for and select it for each question that it is relevant to on the assessment.

- 7. Question/comment:** Earlier you mentioned that documents do not have to be redacted because of the contract with WSU, are you referring to the business associate contract?

CORRECTION: Providers do need to redact all public health information (PHI) prior to uploading documents and submitting assessments.

- 8. Questions/Comment:** Michele, you spoke recently to Shawnee County CDDO. I haven't seen anything come up in regard to community job sites which HCBS day services funding has been used to provide for job coaching and support at those sites or for individuals who move between community job placements and the work sensor. You were going to do some follow up on that and we are working on completing the assessment and we are at a standstill until we get an answer to that question.

KDADS: I will get some follow up for you on that. Supported employment is considered presumed compliant. If you are billing for day services at a community placement I think we are going to have to address that separately, so I will have to get my team on that and we can address that as soon as possible and get back with you.

- 9. Question/comment:** I had submitted this online and I got an answer that I wanted to clarify. Based on previous calls I want to clarify, this is for only the IDD waiver, it seems like the only site that is accepted in the final rule assessment is what we call site services because we are licensed by service, and I specified the IDD waiver, residential, day support, and so on. It has been clarified in calls in the past that self-directed services and self-care services whether their agencies provided or self-directed, those are exempt so it seems like the only services under IDD that would be subject to the assessment are more licensed services are provided. The answer I got is like those that some categories are presumed compliant and have to submit it at other sites also. For an organization that may have a lot of personal care services it seems like it would be expecting an awful lot to have a provider submit information for those sites if it is personal care services that are delivered there in order to be examined and determined whether its exempt or not. So, a little clarification on what is the expectation there?

KDADS: The way that we have looked at this is that for services delivered in someone's own home we are considering those to be presumed compliant. Yes, we are looking at day and residential services, not because those are licensed but because those are often in congregate settings. Unless you have questions about whether the agency directed personal care services might not be compliant you don't need to do an assessment for those.

10. Question/Comment: An individual who receives HCBS residential services but lives independently in their own home or apartment, that site will not have to be included in a self-assessment, correct?

KDADS: Are you billing residential or personal care services?

11. Question/Comment: Residential.

KDADS: Because it is residential they will need to have an assessment. If you are billing residential they may be compliant, because they are in their own home and they have everything set according to their person-centered service plan, but because it is billed as residential services you will need to complete the site-specific assessment.

Adjourn

Evening Call: 10 Participants

1. Question/Comment: What is the address for the community connections website?

WSU: That website is communityconnectionsks.org. The rapid response calls we mentioned are Fridays at 10:00 am. It is the same number that you used for this call and those calls are to answer questions about the provider self-assessment if you are having an issue accessing the assessment or uploading a document, we have staff available to help you with the process at that time.

2. Question/Comment: Michele gave information about a drop-down list, something about sample documentation, cover that again.

KDADS: Sure, on the questions you will have a drop down, you can present a policy there to show that you are in compliance with Final Rule or that you abide by person centered service plans. You don't have to upload both, you just need to be uploading evidence that you do as an agency, protect the rights and services of the people you serve and that you have an integrated setting.

3. Question/Comment: I wanted to double check the Community Connections site, is it ks.gov?

WSU: No, its communityconnectionsks.org. The abbreviation is KS, and then it is .org.

4. Question/Comment: You said some people have completed their assessments, what kinds of things are you finding that could be helpful for those who haven't started yet?

WSU: At Wichita State we are just in the early stages of doing the desk review, so we haven't identified patterns yet. What we find to be helpful for providers is to download the residential or nonresidential setting paper copy and that will give you a list of the

questions and then examples of documentation that you might upload, and to go through that before you get into the electronic assessment.

- 5. Question/Comment:** For all the providers who are doing the self-assessment, how many weeks have they known about this?

KDADS: We have been advertising this for some time, we announced in August or July that the assessment would be open starting September 15. We put that out on the list serv and posted it on the website. What was the other part of the question, I'm sorry?

- 6. Question/Comment:** How long have folks known that all the providers are required to complete the survey if they do day residential, I'm not sure what other service settings, are all providers are required to complete that?

KDADS: Yes, so you are going to need to complete it if you have any day and residential services and we are presuming that services in someone's own home are going to be compliant unless you are billing for residential or day. So, if you have any questions about if you need to fill out the assessment you can go online, get a pin number and complete the assessment.

- 7. Question/Comment:** My other question is this is a service provider questionnaire, for readiness for the Final Rule. Is there any part of that questionnaire that will be for families and consumers who receives services? How will the consumers and families get heard?

KDADS: They are always welcome to join these calls for one, we will be posting this information once we are through with the assessments for public comment, so they will have the opportunity for that. We will have people going out for heightened scrutiny onsite, and we will be talking with families and consumers during those times.

- 8. Question/Comment:** I just wanted to say thank you for having these calls, I know sometimes you may not feel like it, but they are definitely worthwhile.

WSU: Thank you, we appreciate you joining us.

Adjourn